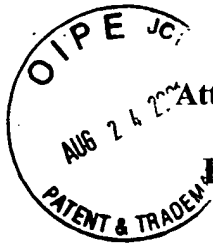


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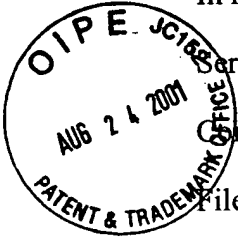


Attorney Docket No. SIEB-019/01US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Ambrose et al.



Serial No.: 09/866,877

Examiner: Not Yet Assigned

Confirmation No.: 4487

Art Unit: 2152

RECEIVED

Filed: May 30, 2001

AUG 28 2001

For: Client Server System with Thin Client Architecture

Technology Center 2100

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08 for the above-identified patent application.

- In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- In accordance with 37 C.F.R. §1.97(c), also enclosed is:
  - the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
  - a statement as specified in 37 C.F.R. §1.97(e).
- In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- Check No. \_\_\_ in the amount of \$\_\_\_ for the total fee is attached.
- Please charge \$\_\_\_ to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

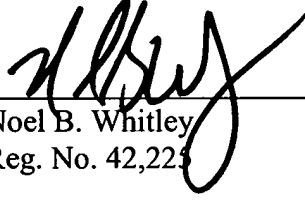
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: August 24, 2001

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Respectfully submitted,  
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By:

  
\_\_\_\_\_  
Noel B. Whitley  
Reg. No. 42,223



Attorney Docket No. SIEB-019/01US

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- No copies of the publications listed on the attached Form PTO/SB/08 are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- Publication(s) \_ listed on the attached Form PTO/SB/08 were cited in a foreign search or examination report corresponding to PCT application serial no. PCT/US99/28414 and mailed on April 25, 2000.
- Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- Enclosed is a copy of a non-English publication(s) \_\_\_\_\_. English language publication \_\_\_\_\_ (copy enclosed) claims priority from this non-English publication.

- Enclosed is an explanation of non-English publication(s) \_\_\_\_\_ for which an English translation is not available.
- Enclosed is an English translation of non-English publication(s) cited in the attached Form PTO/SB/08.
- Enclosed is a copy of pending patent Application Serial No. \_\_\_\_\_.

This Information Disclosure Statement is filed within any one of the following time periods:

- within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- before the mailing date of a first office action on the merits; or
- before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08 to the undersigned.

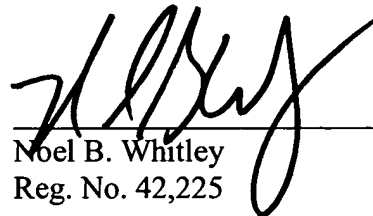
RE

Dated: August 24, 2001

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By:

  
\_\_\_\_\_  
Noel B. Whitley  
Reg. No. 42,225

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+



PTO/SB/08A (08-00)

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<i>Complete if Known</i>		<b>RECEIVED</b>	
		Application Number	09/866,877		
		Filing Date	May 30, 2001	AUG 28 2001	
		First Named Inventor	Ambrose et al.		
		Group Art Unit	2152	<b>Technology Center 2100</b>	
		Examiner Name	Not Yet Assigned		
Sheet	1	of	2	Attorney Docket Number	SIEB-019/01US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> <i>(if known)</i>		
	P1	5,838,910		Domenikos et al.	11/17/1998
	P2	5,842,020		Faustini	11/24/1998
	P3	5,842,176		Hunt et al.	11/24/1998
	P4	5,842,196		Agarwal et al.	11/24/1998

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> <i>(if known)</i>			

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.  
<sup>2</sup> See attached Kinds of U.S. Patent Documents.  
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).  
<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.  
<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.  
<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>		
				Application Number	09/866,877	<b>RECEIVED</b>  AUG 28 2001  <b>Technology Center 2100</b>
				Filing Date	May 30, 2001	
				First Named Inventor	Ambrose et al.	
				Group Art Unit	2152	
				Examiner Name	Not Yet Assigned	
Attorney Docket Number	SIEB019/01US					
Sheet	2	of	2			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	LEWANDOWSKI, SCOTT M., "Frameworks for Component-Based Client/Server Computing", ACM Computing Surveys, Vol. 30, No. 1, March 1998, pages 3-20	
	C2	ORFALI, ROBERT, et al., "Instant Corba", John Wiley & Sons, Inc., 1997, pages 20-28	
	C3	FLANAGAN, DAVID, "JAVA Examples in a Nutshell" O'Reilly & Associates, Inc., September 1997, pages 371-374, 129-132	
	C4	GRALLA, PRESTON, "How the Internet Works, Special Edition", Ziff-David Press, 1997, pages 44-45	
	C5	FULTON, JIM et al., "Can You Ever be too Thin?", Putting It Together, ACM 1091-3556/97/0700, July/August 1997, pages 19-23	
	C6	VOGEL, ANDREAS et al., "JAVA Programming with CORBA", John Wiley & Sons, Inc., 1997, pages 8-9, 46-50	

Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number.  
<sup>2</sup> Applicant is to place a check mark here if English language Translation attached.